

Hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: September 28, 2006 Signature: *Lawrence E. Russ*

(Lawrence E. Russ)

1FN/2134
Docket No.: SONYJP 3.3-1241
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Sako et al.

Application No.: 09/581,271

Group Art Unit: 2134

Filed: October 26, 2000

Examiner: T. Tran

For: DATA TRANSMITTING APPARATUS, DATA
TRANSMITTING METHOD AND DATA
RECORDING MEDIUM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(D)

Dear Sir:

It is respectfully requested that the accompanying Information Disclosure Statement, filed after issuance of a final rejection, be considered pursuant to 37 C.F.R. § 1.97(d). Please charge deposit account No. 12-1095 in the amount of \$180.00 pursuant to 37 C.F.R. § 1.17(p). The present submission is accompanied by a certification as required by 37 C.F.R. § 1.97(e). In the event that any additional fee is due in connection with the present petition, the same should be charged to our Deposit Account No. 12-1095.

Dated: September 28, 2006

Respectfully submitted,

Lawrence E. Russ

Lawrence E. Russ
Registration No.: 35,342
LERNER, DAVID, LITTENBERG,
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Alexandria, VA 22313-1450

CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E) (1)

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Dated: September 28, 2006

Respectfully submitted,

By

Lawrence E. Russ

Lawrence E. Russ

Registration No.: 35,342

LERNER, DAVID, LITTENBERG,

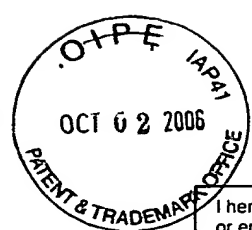
KRUMHOLZ & MENTLIK, LLP

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DATA TRANSMITTING METHOD AND
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Commissioner for Patents
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Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each non-US reference is enclosed.

These references were newly cited in the Supplementary European Search Report that issued in connection with corresponding International Application PCT/JP9905690, a copy of which is enclosed. Submission of the present Supplemental Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

10/05/2006 RMEBRAHT 00000046 121095 09581271

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Application No.: 09/581,271

Docket No.: SONYJP 3.3-1241

In the event that any fee is due in connection with the present Supplemental Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: September 28, 2006

Respectfully submitted,

By Lawrence E. Russ

Lawrence E. Russ

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LERNER, DAVID, LITTENBERG,

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/581,271-Conf. #2353
				Filing Date	October 26, 2000
				First Named Inventor	Yoichiro Sako
				Art Unit	2134
				Examiner Name	T. Tran
Sheet	1	of	1	Attorney Docket Number	SONYJP 3.3-1241

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)					
	BG	WO-98/48387		10-29-1998	Gemplus S.C.A.		**
	BH	EP-0 643 371 A1		03-15-1995	Gemplus Card International		
	BI	EP-0 760 505 A2		03-05-1997	Fujitsu Limited		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**With English Abstract Only

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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